

**PATENT** 

ATTORNEY DOCKET №: 03-2373

EXPRESS MAIL LABEL Nº: EV 380 207 605 US

#### NON-PROVISIONAL PATENT APPLICATION TRANSMITTAL LETTER

MAIL STOP PATENT APPLICATION COMMISSIONER FOR PATENTS P.O. BOX 1450 **ALEXANDRIA, VA 22313-1450** 

Transmitted herewith for filing is the patent application of:

**INVENTOR:** 

Karl Lu World Trade Tower, Room 2602 500 Guang Dong Road Shanghai 200001, P.R. China

Title: A Safe Method for Upgrading Firmware of Optical Disk Product

### **CERTIFICATION UNDER 37 C.F.R. §1.10**

I hereby certify that this a Non-provisional Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date, February 4, 2004 in an envelope as "Express Mail Post Office to Addressee", Mailing Label Nº EV 380 207 605 US, with sufficient postage, addressed to: MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ReNea D. Berggren

DATED: February 4, 2004

1. Type of Application

This is a non-provisional Application.

2. Benefit of Prior U.S. Application(s) (35 U.S.C. § 119(e))

> **USPSN** Filing Date Inventor(s) Status Pending

60/531,277 12/18/2003 Karl Lu

# 3. Papers Enclosed That Are Required for Filing Date under 37 C.F.R. §1.53(b) (Regular) or 37 C.F.R. §1.153 (Design) Application

Twelve (12) Pages of Specification;

Four (4) Pages of Claims;

One (1) Page of Abstract; and

Five (5) Sheets of Drawing Figures.

# 4. Additional Papers Enclosed

None.

#### 5. Declaration or Oath

The signed Declaration and Power of Attorney is attached

### 6. Inventorship Statement

The inventorship for all the claims in this application are the same.

# 7. Language

English.

# 8. Assignment

The signed Assignment is attached. The Recordation Form Cover Sheet is enclosed.

# 9. Certified Copy

None are required.

### 10. Fee Calculation (37 C.F.R. §1.16)

BASIC FEE						\$770.00
EXCESS CLAIM FEE						
TOTAL OVER TWENTY	14	-20	=	0	X \$18.00	\$0.00
INDEPENDENT OVER THREE	3	-3	=	0	\$86.00	\$0.00
MULTIPLE DEPENDENT				0	\$290.00	\$0.00
ASSIGNMENT RECORDATION FEE					\$ 40.00	\$40.00
TOTAL FILING FEES						\$810.00

#### **Small Entity Statement(s)** 11.

None required.

#### 12. Request for International - Type Search (37 C.F.R. §1.104(d))

None required.

#### 13. **Authorization to Charge Fees**

The Commissioner is hereby authorized to charge the filing fees of \$810.00 to Deposit Account No 12-2252. Please charge any underpayments related to this filing or credit any excess to Deposit Account No 12-2252. A copy of this Transmittal is enclosed for accounting purposes only.

Please direct all correspondence and telephone calls to:

**CUSTOMER No. 24319** 

LEO PETERS LEGAL DEPARTMENT - IP LSI LOGIC CORPORATION M/S D-106 1621 BARBER LANE MILPITAS, CA 95035

DATED: February 4, 2004.

> Respectfully submitted, Karl Lu, LSI Logic Corporation,

Chad W. Swantz
Reg. Nº 46 200

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